

PTOL-413A (05-03)
Approved for use through 10/10/2001. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 09/617,065 First Named Applicant: Understein
 Examiner: Sally Shih Art Unit: 3624 Status of Application: _____

Tentative Participants:

(1) Alan M. Kagen (2) SPE or Primary
 (3) Examiner Shih (4) _____

Proposed Date of Interview: 8/11/03 Proposed Time: 2:00 pm (AM/PM)

Type of Interview Requested:

(1) Telephonic (2) Personal (3) Video Conference

Exhibit To Be Shown or Demonstrated: YES NO
 If yes, provide brief description: _____

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Issues To Be Discussed

| Issues (Rej., Obj., etc) | Claims/ Fig. #s | Prior Art | Discussed | Agreed | Not Agreed |
|-----------------------------|--------------------|----------------------|--------------------------|--------------------------|--------------------------|
| (1) <u>Sec: 102(e)</u> | <u>1-8</u> | <u>USP 5,872,844</u> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (2) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (3) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (4) _____ | _____ | _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Continuation Sheet Attached

Brief Description of Arguments to be Presented:

Yacobi '844 patent is distinguishable from claimed invention, particularly with respect to "reserving" funds per claim 1. Yacobi rather monitors fraudulent use of electronic assets. Other distinguishing features of the invention will be discussed.

Official

An interview was conducted on the above-identified application on _____.

NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Alan M. Kagen

(Applicant/Applicant's Representative Signature)
 Alan M. Kagen, Reg. No. 36,178

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/07 (08-00)

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Date



Signature

Alan M. Kagen, Reg. No. 36,178

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